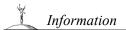


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# From the Publisher

#### **ABOUT THIS PUBLICATION**

The authenticated pdf of the *Administrative Register* (A.A.R.) posted on the Arizona Secretary of State's website is the official published version for rulemaking activity in the state of Arizona.

Rulemaking is defined in Arizona Revised Statutes known as the Arizona Administrative Procedure Act (APA), A.R.S. Title 41, Chapter 6, Articles 1 through 10.

The *Register* is cited by volume and page number. Volumes are published by calendar year with issues published weekly. Page numbering continues in each weekly issue.

In addition, the *Register* contains notices of rules terminated by the agency and rules that have expired.

#### **ABOUT RULES**

Rules can be: made (all new text); amended (rules on file, changing text); repealed (removing text); or renumbered (moving rules to a different Section number). Rulemaking activity published in the *Register* includes: proposed, final, emergency, expedited, and exempt rules as defined in the APA, and other state statutes.

New rules in this publication (whether proposed or made) are denoted with underlining; repealed text is stricken.

# WHERE IS A "CLEAN" COPY OF THE FINAL OR EXEMPT RULE PUBLISHED IN THE REGISTER?

The Arizona Administrative Code (A.A.C) contains the codified text of rules. The A.A.C. contains rules promulgated and filed by state agencies that have been approved by the Attorney General or the Governor's Regulatory Review Council. The Code also contains rules exempt from the rulemaking process.

The authenticated pdf of *Code* chapters posted on the Arizona Secretary of State's website are the official published version of rules in the A.A.C. The *Code* is posted online for free.

#### **LEGAL CITATIONS AND FILING NUMBERS**

On the cover: Each agency is assigned a Chapter in the *Arizona Administrative Code* under a specific Title. Titles represent broad subject areas. The Title number is listed first; with the acronym A.A.C., which stands for the *Arizona Administrative Code*; following the Chapter number and Agency name, then program name. For example, the Secretary of State has rules on rulemaking in Title 1, Chapter 1 of the *Arizona Administrative Code*. The citation for this chapter is 1 A.A.C. 1, Secretary of State, Rules and Rulemaking

Every document filed in the office is assigned a file number. This number, enclosed in brackets, is located at the top right of the published documents in the *Register*. The original filed document is available for 10 cents a page.



Vol. 26

Issue 3

PUBLISHER
SECRETARY OF STATE
Katie Hobbs

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ADMINISTRATIVE REGISTER
This publication is available online for free at <a href="https://www.azsos.gov.">www.azsos.gov.</a>

#### **ADMINISTRATIVE CODE**

A price list for the *Arizona*Administrative Code is available
online. You may also request a paper
price list by mail. To purchase a paper
Chapter, contact us at
(602) 364-3223.

#### **PUBLICATION DEADLINES**

Publication dates are published in the back of the *Register*. These dates include file submittal dates with a three-week turnaround from filing to published document.

#### **CONTACT US**

Administrative Rules Division Office of the Secretary of State 1700 W. Washington Street, Fl. 2 Phoenix, AZ 85007 (602) 364-3223

The Office of the Secretary of State is an equal opportunity employer.



# Participate in the Process

#### **Look for the Agency Notice**

Review (inspect) notices published in the *Arizona Administrative Register*. Many agencies maintain stakeholder lists and would be glad to inform you when they proposed changes to rules. Check an agency's website and its newsletters for news about notices and meetings.

Feel like a change should be made to a rule and an agency has not proposed changes? You can petition an agency to make, amend, or repeal a rule. The agency must respond to the petition. (See A.R.S. § 41-1033)

#### Attend a public hearing/meeting

Attend a public meeting that is being conducted by the agency on a Notice of Proposed Rulemaking. Public meetings may be listed in the Preamble of a Notice of Proposed Rulemaking or they may be published separately in the *Register*. Be prepared to speak, attend the meeting, and make an oral comment.

An agency may not have a public meeting scheduled on the Notice of Proposed Rulemaking. If not, you may request that the agency schedule a proceeding. This request must be put in writing within 30 days after the published Notice of Proposed Rulemaking.

#### Write the agency

Put your comments in writing to the agency. In order for the agency to consider your comments, the agency must receive them by the close of record. The comment must be received within the 30-day comment timeframe following the *Register* publication of the Notice of Proposed Rulemaking.

You can also submit to the Governor's Regulatory Review Council written comments that are relevant to the Council's power to review a given rule (A.R.S. § 41-1052). The Council reviews the rule at the end of the rulemaking process and before the rules are filed with the Secretary of State.

### Arizona Regular Rulemaking Process

# START HERE APA, statute or ballot proposition is passed. It gives an agency authority to make rules. It may give an agency an exemption

to the process or

portions thereof.

Agency opens a docket.

Agency files a Notice of Rulemaking Docket Opening; it is published in the *Register*. Often an agency will file the docket with the proposed rulemaking.

Agency decides not to act and closes docket.

The agency may let the docket lapse by not filing a Notice of Proposed rulemaking within one year.

Agency drafts proposed rule and Economic Impact Statement (EIS); informal public review/comment.

Agency files Notice of Proposed Rulemaking.

Notice is published in the *Register*.

Notice of meetings may be published in Register or included in Preamble of Proposed Rulemaking.

Agency opens comment period.

Agency decides not to proceed and does not file final rule with G.R.R.C. within one year after proposed rule is published. A.R.S. § 41-1021(A)(4).

Agency decides not to proceed and files Notice of Termination of Rulemaking for publication in *Register*. A.R.S. § 41-1021(A)(2).

Agency files Notice of Supplemental Proposed Rulemaking. Notice published in Register.

Oral proceeding and close of record. Comment period must last at least 30 days after publication of notice. Oral proceeding (hearing) is held no sooner than 30 days after publication of notice of hearing

Substantial change?

If no change then

Agency decides not to proceed; files Notice of Termination of Rulemaking. May open a new Docket.

Rule must be submitted for review or terminated within 120 days after the close of the record.

to G.R.R.C. or A.G. for review Co

A final rulemaking package is submitted to G.R.R.C. or A.G. for review. Contains final preamble, rules, and Economic Impact Statement.

G.R.R.C. has 90 days to review and approve or return the rule package, in whole or in part;
A.G. has 60 days.

After approval by G.R.R.C. or A.G., the rule becomes effective 60 days after filing with the Secretary of State (unless otherwise indicated).

Final rule is published in the Register and the quarterly Code Supplement.

#### **Definitions**

*Arizona Administrative Code* (A.A.C.): Official rules codified and published by the Secretary of State's Office. Available online at www.azsos.gov.

Arizona Administrative Register (A.A.R.): The official publication that includes filed documents pertaining to Arizona rulemaking. Available online at www.azsos.gov.

**Administrative Procedure Act (APA):** A.R.S. Title 41, Chapter 6, Articles 1 through 10. Available online at www.azleg.gov.

Arizona Revised Statutes (A.R.S.): The statutes are made by the Arizona State Legislature during a legislative session. They are complied by Legislative Council, with the official publication codified by Thomson West. Citations to statutes include Titles which represent broad subject areas. The Title number is followed by the Section number. For example, A.R.S. § 41-1001 is the definitions Section of Title 41 of the Arizona Administrative Procedures Act. The "§" symbol simply means "section." Available online at www.azleg.gov.

**Chapter:** A division in the codification of the *Code* designating a state agency or, for a large agency, a major program.

**Close of Record:** The close of the public record for a proposed rulemaking is the date an agency chooses as the last date it will accept public comments, either written or oral.

**Code of Federal Regulations (CFR):** The *Code of Federal Regulations* is a codification of the general and permanent rules published in the *Federal Register* by the executive departments and agencies of the federal government.

**Docket:** A public file for each rulemaking containing materials related to the proceedings of that rulemaking. The docket file is established and maintained by an agency from the time it begins to consider making a rule until the rulemaking is finished. The agency provides public notice of the docket by filing a Notice of Rulemaking Docket Opening with the Office for publication in the *Register*.

**Economic, Small Business, and Consumer Impact Statement (EIS):** The EIS identifies the impact of the rule on private and public employment, on small businesses, and on consumers. It includes an analysis of the probable costs and benefits of the rule. An agency includes a brief summary of the EIS in its preamble. The EIS is not published in the *Register* but is available from the agency promulgating the rule. The EIS is also filed with the rulemaking package.

Governor's Regulatory Review (G.R.R.C.): Reviews and approves rules to ensure that they are necessary and to avoid unnecessary duplication and adverse impact on the public. G.R.R.C. also assesses whether the rules are clear, concise, understandable, legal, consistent with legislative intent, and whether the benefits of a rule outweigh the cost.

**Incorporated by Reference:** An agency may incorporate by reference standards or other publications. These standards are available from the state agency with references on where to order the standard or review it online.

**Federal Register (FR):** The *Federal Register* is a legal newspaper published every business day by the National Archives and Records Administration (NARA). It contains federal agency regulations; proposed rules and notices; and executive orders, proclamations, and other presidential documents.

Session Laws or "Laws": When an agency references a law that has not yet been codified into the Arizona Revised Statutes, use the word "Laws" is followed by the year the law was passed by the Legislature, followed by the Chapter number using the abbreviation "Ch.", and the specific Section number using the Section symbol (§). For example, Laws 1995, Ch. 6, § 2. Session laws are available at www.azleg.gov.

United States Code (U.S.C.): The Code is a consolidation and codification by subject matter of the general and permanent laws of the United States. The Code does not include regulations issued by executive branch agencies, decisions of the federal courts, treaties, or laws enacted by state or local governments.

#### **Acronyms**

A.A.C. - Arizona Administrative Code

A.A.R. - Arizona Administrative Register

APA - Administrative Procedure Act

A.R.S. - Arizona Revised Statutes

CFR - Code of Federal Regulations

EIS – Economic, Small Business, and Consumer Impact Statement

FR – Federal Register

G.R.R.C. – Governor's Regulatory Review Council

U.S.C. - United States Code

#### **About Preambles**

The Preamble is the part of a rulemaking package that contains information about the rulemaking and provides agency justification and regulatory intent.

It includes reference to the specific statutes authorizing the agency to make the rule, an explanation of the rule, reasons for proposing the rule, and the preliminary Economic Impact Statement.

The information in the Preamble differs between rulemaking notices used and the stage of the rulemaking.



#### NOTICES OF PROPOSED RULEMAKING

This section of the Arizona Administrative Register contains Notices of Proposed Rulemakings.

A proposed rulemaking is filed by an agency upon completion and submittal of a Notice of Rulemaking Docket Opening. Often these two documents are filed at the same time and published in the same Register issue.

When an agency files a Notice of Proposed Rulemaking under the Administrative Procedure Act (APA), the notice is published in the Register within three weeks of filing. See the publication schedule in the back of each issue of the Register for more information.

Under the APA, an agency must allow at least 30 days to elapse after the publication of the Notice of Proposed Rulemaking in the Register before beginning any proceedings for making, amending, or repealing any rule (A.R.S. §§ 41-1013 and 41-1022).

The Office of the Secretary of State is the filing office and

publisher of these rules. Questions about the interpretation of the proposed rules should be addressed to the agency that promulgated the rules. Refer to item #4 below to contact the person charged with the rulemaking and item #10 for the close of record and information related to public hearings and oral comments.

#### NOTICE OF PROPOSED RULEMAKING **TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION**

[R19-288]

#### **PREAMBLE**

1. Article, Part, or Section Affected (as applicable) R2-20-701

Rulemaking Action

Citations to the agency's statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):
Authorizing statute: A.R.S. § 16-956(A)(6), *Id.* § 16-956(A)(7)

Implementing statute: A.R.S. § 16-948(C)

Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:

Notice of Rulemaking Docket Opening: 26 A.A.R. 115, January 17, 2020 (in this issue)

The agency's contact person who can answer questions about the rulemaking:

Thomas M. Collins Name:

Citizens Clean Elections Commission Address:

1616 W. Adams, Suite 110

Phoenix, AZ 85007

Telephone: (602) 364-3477

ccec@azcleanelections.gov E-mail: Web site: www.azcleanelections.gov

An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

The proposed amendment, authored by Governor's Regulatory Review Council Member John Sundt, is intended to smooth the Commission's rules regarding participating candidate expenditures as provided by A.R.S. § 16-948(C). The Commission approved the following rules for public comment, subject to its reservation of rights under the Clean Elections Act (A.R.S. §§ 16-940 to 16-961), Title 41, Chapter 6, and the Arizona and Federal Constitutions.

- A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material: Not applicable
- A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

These changes do not diminish a previous grant of authority to a political subdivision of this state.

The preliminary summary of the economic, small business, and consumer impact:

As indicated in our filing with the Council, the Commission believes there is little consumer, economic, or small business impact. The amendment only concerns participating candidates.

The agency's contact person who can answer questions about the economic, small business and consumer impact statement:

Thomas M. Collins Name:

Address: Citizens Clean Elections Commission

1616 W. Adams, Suite 110



Phoenix, AZ 85007

Telephone: (602) 364-3477

E-mail: ccec@azcleanelections.gov Web site: www.azcleanelections.gov

10. The time, place, and nature of the proceedings to make, amend, repeal, or renumber the rule, or if no proceeding

is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

Pursuant to A.R.S. § 16-956, a 60-day public comment period precedes an oral hearing, which is the earliest the Commission may act on a proposed rule. Rule comments are accepted, in addition, through the web site, email, and regular mail, as well as at call to the public at interim meetings. Rules that are passed unanimously may be made effective immediately. All other approved rules are effective January 1 of the year following their enactment. A.R.S. § 16-956(C), (D).

11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:

Not applicable

a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

- b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law: Not applicable
- Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:
- 12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules: Not applicable
- 13. The full text of the rules follows:

#### **TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION**

#### ARTICLE 7. USE OF FUNDS AND REPAYMENT

Section

R2-20-701. Purpose and Scope

#### ARTICLE 7. USE OF FUNDS AND REPAYMENT

#### R2-20-701. Purpose and Scope

Notwithstanding any other provision of the Rules to the contrary, a participating candidate shall not make any payment to a private organization that is exempt under section 501(a) of the internal revenue code and that is eligible to engage in activities to influence the outcome of a candidate election, nor make any payment directly or indirectly to a political party; and subject to the foregoing, may spend clean elections monies only for reasonable and necessary expenses that are directly related to the campaign of that participating candidate.

#### NOTICE OF PROPOSED RULEMAKING **TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION**

[R19-289]

#### **PREAMBLE**

1. Article, Part, or Section Affected (as applicable) **Rulemaking Action** R2-20-702.01 Amend

Citations to the agency's statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):
Authorizing statute: A.R.S. § 16-956(A)(6), *Id.* § 16-956(A)(7)

Implementing statute: A.R.S. § 16-948(C)

Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:

Notice of Rulemaking Docket Opening: 26 A.A.R. 115, January 17, 2020 (in this issue)

The agency's contact person who can answer questions about the rulemaking:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission



1616 W. Adams, Suite 110 Phoenix, AZ 85007

Telephone: (602) 364-3477

E-mail: <u>ccec@azcleanelections.gov</u>
Web site: <u>www.azcleanelections.gov</u>

5. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

The proposed amendment, drafted by Clean Elections staff based on a comment by Governor's Regulatory Review Council Member John Sundt, is intended to smooth the Commission's rules regarding participating candidate expenditures as provided by A.R.S. § 16-948(C). The Commission approved the following rules for public comment, subject to its reservation of rights under the Clean Elections Act (A.R.S. §§ 16-940 to 16-961), Title 41, Chapter 6, and the Arizona and Federal Constitutions.

- 6. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

  Not applicable
- 7. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

These changes do not diminish a previous grant of authority to a political subdivision of this state.

The preliminary summary of the economic, small business, and consumer impact:

There is little consumer, economic, or small business impact. The amendment only concerns participating candidates.

9. The agency's contact person who can answer questions about the economic, small business and consumer impact statement:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 W. Adams, Suite 110

Phoenix, AZ 85007

Telephone: (602) 364-3477

E-mail: <a href="mailto:ccec@azcleanelections.gov">ccec@azcleanelections.gov</a>
Web site: <a href="mailto:www.azcleanelections.gov">www.azcleanelections.gov</a>

10. The time, place, and nature of the proceedings to make, amend, repeal, or renumber the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

Pursuant to A.R.S. § 16-956, a 60-day public comment period precedes an oral hearing, which is the earliest the Commission may act on a proposed rule. Rule comments are accepted, in addition, through the web site, email, and regular mail, as well as at call to the public at interim meetings. Rules that are passed unanimously may be made effective immediately. All other approved rules are effective January 1 of the year following their enactment. A.R.S. § 16-956(C), (D).

11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:

Not applicable

a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:

Not applicable

c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:

Not applicable

- 12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:

  Not applicable
- 13. The full text of the rules follows:

TITLE 2. ADMINISTRATION
CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

ARTICLE 7. USE OF FUNDS AND REPAYMENT

Section

R2-20-702.01. Use of Assets

#### ARTICLE 7. USE OF FUNDS AND REPAYMENT

#### **R2-20-702.01.** Use of Assets

A participating candidate may use assets such as signs, pamphlets, and office equipment from a prior election cycle only after the candidate's current campaign pays for the assets in an amount equal to the fair market value of the assets, which amount shall in no event be less than one-fifth (1/5) the original purchase price of such assets. If the candidate was a participating candidate during the prior election cycle, the cash payment shall be made to the Fund. If the candidate was not a participating candidate during the prior election cycle, the cash payment shall be made to the prior campaign. If the prior campaign account of a nonparticipating candidate is closed, the payment shall be made to the candidate. Notwithstanding any other provision of the Rules to the contrary, a participating candidate shall not make any payment to a private organization that is exempt under section 501(a) of the internal revenue code and that is eligible to engage in activities to influence the outcome of a candidate election, nor make any payment directly or indirectly to a political party.

# NOTICE OF PROPOSED RULEMAKING TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

[R19-290]

#### **PREAMBLE**

1. Article, Part, or Section Affected (as applicable)
R2-20-703.01

Rulemaking Action
Amend

Citations to the agency's statutory rulemaking authority to include the authorizing statute (general) and the

implementing statute (specific):
Authorizing statute: A.R.S. § 16-956(A)(6), *Id.* § 16-956(A)(7)

Implementing statute: A.R.S. § 16-948(C).

3. Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:

Notice of Rulemaking Docket Opening: 26 A.A.R. 116, January 17, 2020 (in this issue)

4. The agency's contact person who can answer questions about the rulemaking:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 W. Adams, Suite 110

Phoenix, AZ 85007

Telephone: (602) 364-3477

E-mail: <a href="mailto:ccec@azcleanelections.gov">ccec@azcleanelections.gov</a>
Web site: <a href="mailto:www.azcleanelections.gov">www.azcleanelections.gov</a>

5. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

The proposed amendment, drafted by Clean Elections staff based on a comment by Governor's Regulatory Review Council Member John Sundt, is intended to smooth the Commission's rules regarding participating candidate expenditures as provided by A.R.S. § 16-948(C). The Commission approved the rule for public comment, subject to its reservation of rights under the Clean Elections Act (A.R.S. §§ 16-940 to 16-961), Title 41, Chapter 6, and the Arizona and Federal Constitutions.

6. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

Not applicable

Telephone:

7. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

These changes do not diminish a previous grant of authority to a political subdivision of this state.

8. The preliminary summary of the economic, small business, and consumer impact:

There is little consumer, economic, or small business impact. The amendment only concerns participating candidates.

 The agency's contact person who can answer questions about the economic, small business and consumer impact statement:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 W. Adams, Suite 110

Phoenix, AZ 85007 (602) 364-3477

E-mail: <a href="mailto:ccec@azcleanelections.gov">ccec@azcleanelections.gov</a>
Web site: <a href="https://www.azcleanelections.gov">www.azcleanelections.gov</a>



10. The time, place, and nature of the proceedings to make, amend, repeal, or renumber the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

Pursuant to A.R.S. § 16-956, a 60-day public comment period precedes an oral hearing which is the earliest the Commission may act on a proposed rule. Rule comments are accepted, in addition, through the web site, email, and regular mail, as well as at call to the public at interim meetings. Rules that are passed unanimously may be made effective immediately. All other approved rules are effective January 1 of the year following their enactment. A.R.S. § 16-956(C), (D).

- 11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:
  - Not applicable
  - a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

- Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:
   Not applicable
- <u>whether a person submitted an analysis to the agency that compares the rule's impact of the competitive-ness of business in this state to the impact on business in other states:</u>
  Not applicable
- 12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:

  Not applicable
- 13. The full text of the rules follows:

# TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

#### **ARTICLE 7. USE OF FUNDS AND REPAYMENT**

Section

R2-20-703.01. Campaign Consultants

#### ARTICLE 7. USE OF FUNDS AND REPAYMENT

#### R2-20-703.01. Campaign Consultants

- A. No change
- B. No change
- C. No change
  - 1. No change
  - 2. No change
  - 3. No change
- D. No change
- E. Notwithstanding any other provision of the Rules to the contrary, a participating candidate shall not make any payment to a private organization that is exempt under section 501(a) of the internal revenue code and that is eligible to engage in activities to influence the outcome of a candidate election, nor make any payment directly or indirectly to a political party.



#### NOTICES OF FINAL RULEMAKING

This section of the *Arizona Administrative Register* contains Notices of Final Rulemaking. Final rules have been through the regular rulemaking process as defined in the Administrative Procedures Act. These rules were either approved by the Governor's Regulatory Review Council or the Attorney General's Office. Certificates of Approval are on file with the Office.

The final published notice includes a preamble and

text of the rules as filed by the agency. Economic Impact Statements are not published.

The Office of the Secretary of State is the filing office and publisher of these rules. Questions about the interpretation of the final rules should be addressed to the agency that promulgated them. Refer to Item #5 to contact the person charged with the rulemaking. The codified version of these rules will be published in the Arizona Administrative Code.

# NOTICE OF FINAL RULEMAKING TITLE 2. ADMINISTRATION CHAPTER 12. OFFICE OF THE SECRETARY OF STATE

[R19-296]

#### **PREAMBLE**

<u>1.</u>	Article, Part, or Section Affected (as applicable)	<b>Rulemaking Action</b>
	R2-12-1201	Renumber
	R2-12-1201	New Section
	R2-12-1202	Renumber
	R2-12-1202	Amend
	R2-12-1203	Renumber
	R2-12-1204	Renumber
	R2-12-1204	Amend
	R2-12-1205	Renumber
	R2-12-1205	Amend
	R2-12-1206	Renumber
	R2-12-1206	Amend
	R2-12-1207	Renumber
	R2-12-1207	Amend
	R2-12-1208	Renumber
	R2-12-1208	Repeal
	R2-12-1209	Repeal

Citations to the agency's statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):

Authorizing statute: A.R.S. § 41-352(C) Implementing statute: A.R.S. § 41-352(C)

3. The effective date of the rule:

December 30, 2019 (Upon filing by the Office of the Attorney General)

a. If the agency selected a date earlier than the 60 day effective date as specified in A.R.S. § 41-1032(A), include the earlier date and state the reason or reasons the agency selected the earlier effective date as provided in A.R.S. § 41-1032(A)(1) through (5):

The Business Services Division of the Secretary of State's Office is requesting an immediate effective date pursuant to A.R.S. § 41-1032(A)(1) and (5) in order to avoid a violation of A.R.S. § 41-352(C). Also, to adopt a rule that is less stringent than the previous rule and that does not have an impact on the public health, safety, welfare, or environment, and does not affect the public involvement and public participation process.

b. If the agency selected a date later than the 60 day effective date as specified in A.R.S. § 41-1032(A), include the earlier date and state the reason or reasons the agency selected the later effective date as provided in A.R.S. § 41-1032(B):

Not applicable

4. <u>Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:</u>

Notice of Rulemaking Docket Opening: 25 A.A.R. 1189, May 10, 2019 Notice of Proposed Rulemaking: 25 A.A.R. 2399, September 20, 2019

5. The agency's contact person who can answer questions about the rulemaking:

Name: Patricia A. Viverto, Director

Business Services Division

Address: Office of the Secretary of State

1700 W. Washington St., 7th Floor



Phoenix, AZ 85007

Telephone: (602) 542-6187 Fax: (602) 542-4366 E-mail: pviverto@azsos.gov

An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

Electronic Notary statutes were repealed in March 2018 (A.R.S. §§ 41-352 through 355 and 357 through 370), with the exception

Electronic Notary statutes were repealed in March 2018 (A.R.S. §§ 41-352 through 355 and 357 through 370), with the exception of A.R.S. § 41-351 defining "electronic signature." A new section, A.R.S. § 41-352, was added to include a directive that the Secretary of State shall adopt rules establishing standards for electronic notarization on or before December 31, 2019.

- 7. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:
- 8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

  Not applicable
- 9. A summary of the economic, small business, and consumer impact:

Not applicable

10. A description of any changes between the proposed rulemaking, to include supplemental notices, and the final rulemaking:

The following changes were made between the proposed rulemaking and the final rulemaking:

In the Preamble, number 3, the effective date was changed from "December 31, 2019" to "Upon filing by the Office of the Attorney General." In number 6, the date that the statutes were repealed was added. Some minor punctuation and spacing changes were also made.

The following changes were made to the text of the rules:

R2-12-1201. Definitions for "Apostille," "Electronic notarization" or "electronic notarial act," "Electronic notary public," "Notary public" or "notary," "Qualified Certificate Authority," and "Tamper-evident technology" were added. Also, the definition of "Non-repudiation" was reworded for clarity.

R2-12-1202(1). The first sentence was underlined to indicate language added.

R2-12-1202(1)(b). The words "under Article 13" were removed.

R2-12-1203(A)(5) and (6). Changed the word "certification" to "statement certifying" for clarity.

R2-12-1203(D). The fee language was removed.

R2-12-1203(H). Section added for the appeal process.

R2-12-1203(I). Added language to the end of the first sentence for clarity.

R2-12-1203(J). Added "and/" after hardware in two places for clarity.

There were also some minor punctuation changes made as well as some text changes from the word "must" to "shall" to indicate when a condition is required.

11. An agency's summary of the public stakeholder comments made about the rulemaking and the agency response to the comments:

None

12. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:

Not applicable

Whether the rul

a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

- b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:

  Not applicable
- c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states: Not applicable
- 13. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:
- 14. Whether the rule was previously made, amended or repealed as an emergency rule. If so, cite the notice published in the *Register* as specified in R1-1-409(A). Also, the agency shall state where the text was changed between the emergency and the final rulemaking packages:

Not applicable

#### 15. The full text of the rules follows:

#### **TITLE 2. ADMINISTRATION**

#### **CHAPTER 12. OFFICE OF THE SECRETARY OF STATE**

#### **ARTICLE 12. ELECTRONIC NOTARY**

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R2-12-1201. Definitions

R2-12-1201 R2-12-1202. Application and Renewal Authority to Perform Electronic Notarization

R2-12-1202R2-12-1203. Applicant Filing Fee, Bond, and Bond Filing Fee Registration

R2-12-1203R2-12-1204. Notarial Journal Tamper Evident Technology

R2-12-1204R2-12-1205. Standards for Electronic Notary Token and Notary Service Electronic Certificate Electronic Seal Requirements

R2-12-1205R2-12-1206. Use of Electronic Notary Tokens and Notary Service Electronic Certificate Security of Electronic Signatures and Electronic Seals

R2-12-1206R2-12-1207. Approval of Time Stamp Token Provider Journal

R2-12-1208. Penalty Fee for Lack of Notice Repealed

R2-12-1207R2-12-1208. Fees Requirements for Authenticating the Notarial Act

R2-12-1209. Civil Penalties Repealed

#### **ARTICLE 12. ELECTRONIC NOTARY**

#### **R2-12-1201. Definitions**

The following definitions shall apply to this Article unless context otherwise requires:

- "Apostille" means a certificate that authenticates the seals and signatures of officials on public documents issued by public authorities for use in foreign countries that are members of the 1961 Hague Convention Treaty.
- "Certificate Authority" means an entity that issues digital certificates for use in performing electronic notarizations.
- "Commission" means the same as defined in A.R.S. § 41-311(2).
- "Electronic" means the same as defined in A.R.S. § 41-371(3).
- "Electronic notarization" or "electronic notarial act" means a notarial act performed with respect to an electronic record in accordance with this Article while the signer is in the physical presence of the notary public.
- "Electronic notary public" means a notary public authorized to perform electronic notarial acts.
- "Electronic record" means the same as defined in A.R.S. § 41-371(4). <u>7.</u>
- "Electronic seal" means the same as defined in A.R.S. § 41-371(5).
- "Electronic signature" means the same as defined A.R.S. § 41-351.
- "Non-repudiation" means the signer of an electronic document shall not deny their electronic signature without factual basis.
- "Notarial act" means the same as defined in A.R.S. § 41-371(9).
- "Notary public" or "notary" means the same as defined in A.R.S. § 41-311(8).
- "Person" means the same as defined in A.R.S. § 41-371(11).
- "Qualified Certificate Authority" means a trusted entity that issues digital certificates in compliance with the requirements of R2-12-1204.
- "Tamper-evident technology" means a set of applications, programs, hardware, software, or other technologies designed to enable a notary public to perform notarial acts with respect to electronic records and to display evidence of any changes made to an electronic record.

#### R2-12-1201R2-12-1202. Application and Renewal Authority to Perform Electronic Notarization

Each applicant for an electronic notary commission or a renewal of an electronic notary commission shall: A notary public of this state may perform electronic notarizations during the term of the notary public's commission if:

- Submit to the Secretary of State a verified application on a form prescribed by the Secretary of State that complies with A.R.S. §41-312 and provides the following information about the applicant: The notary public has received written authorization from the Secretary of State to perform either:
  - Full name and any former names used by the applicant; Electronic notarizations under this Article; or
  - Physical address and telephone number; Remote online notarizations; and
  - Mailing address and telephone number;
  - Business address, telephone number, fax number and email address, if applicable;
  - County of residence;
  - Gender;
  - Date of birth;
  - The previous commission number of the applicant if previously an electronic notary or notary public appointed under A.R.S. §41-312 in Arizona, if applicable;
  - Responses to questions regarding the applicant's background on the following subjects:
    - Whether the applicant has been convicted of a felony or an undesignated offense in this or any other jurisdiction and whether the applicant has been restored to civil rights.
    - ii. Whether the applicant has been convicted of a lesser offense involving moral turpitude or of a nature that is incompatible with the duties of a notary public in this or any other jurisdiction such as a finding that the applicant engaged in conduct that would violate A.R.S. § 41-313 if adjudicated in Arizona, or that the applicant engaged in conduct that constituted misconduct in public office or demonstrated dishonesty or a lack of veracity.



- iii. Whether the applicant has ever had a professional license revoked, suspended, restricted, or denied for misconduct, dishonesty, or any cause that relates to the duties or responsibilities of a notary public such as a finding that the applicant engaged in conduct that would violate A.R.S. § 41-313 if adjudicated in Arizona, or that the applicant engaged in conduct that demonstrated dishonesty or a lack of veracity.
- iv. Whether the applicant has had a notary commission revoked, suspended, restricted, or denied in this state or any other jurisdiction.
- v. Statement that applicant is 18 years of age or older.
- vi. Statement of being an Arizona resident.
- vii. Whether the applicant holds or has held a notary commission in another state or jurisdiction and the commission number and jurisdiction, if applicable.
- 2. The Secretary of State may require that the applicant provide a detailed explanation and supporting documentation for each response on the application regarding the applicant's background. The Secretary of State has not terminated or revoked such authorization.
- 3. Each applicant shall register with the Secretary of State in a manner prescribed by the Secretary of State the applicant's possession of an approved electronic notary token within 90 days of submitting the application.

#### R2 12 1202R2-12-1203. Applicant Filing Fee, Bond, and Bond Filing Fee Registration

- A. The application and renewal fee is \$25. To receive authorization from the Secretary of State to perform electronic notarizations a notary public must submit an application in a format prescribed by the Secretary of State that provides the following information about the applicant:
  - 1. The applicant's full legal name and the name under which the applicant is commissioned as a notary public (if different);
  - 2. The applicant's email address:
  - 3. A description of the technologies or devices that the applicant intends to use to perform electronic notarizations;
  - 4. The name, address, and website URL of any vendors or other persons that will directly supply to the applicant the technologies that the applicant intends to use;
  - 5. A statement certifying that the applicant has obtained a digital certificate from a qualified certificate authority to be used by the applicant in performing electronic notarizations; and
  - 6. A statement certifying that the technologies described in the application comply with the requirements of this Article.
- **B.** The bond filing fee is \$25. The application must be submitted to the Secretary of State as provided by information posted on the Secretary of State's website at https://azsos.gov/.
- C. The applicant shall purchase a surety bond in the amount of \$25,000. The original bond shall be filed with the Secretary of State's office accompanying the application or renewal. If, during the term of a notary public's commission, the notary public intends to use the technologies of another vendor or person than those identified under subsection (A)(3) and (4), then an additional application or amendment identifying such other vendors or other persons must be submitted to the Secretary of State as provided in this section.
- D. The bond shall contain, on its face, the oath of office for the electronic notary public as specified in A.R.S. §38-231(E). The electronic notary shall endorse the oath on the face of the bond, immediately below the oath, by signing the electronic notary's name under which the person has applied to be commissioned as an electronic notary and exactly as the name appears on the notary application form filed with the Secretary of State's Office.
- **D.** If the technology identified in the application under subsection (A) conforms to the standards adopted under this Article and the applicant satisfies the requirements of this section, the Secretary of State shall approve the use of the technology and issue to the notary public written authorization to perform electronic notarizations.
- E. The Secretary of State may reject the application, or terminate or revoke a prior authorization given under this section, for the following reasons:
  - 1. The applicant's failure to comply with A.R.S. §§ 41-311 through 41-351 or this Article;
  - 2. Any information required under subsection (A) is missing, inaccurate, or incomplete; or
  - 3. The technology identified in the application does not conform to the standards adopted under this Article.
- E. The Secretary of State shall notify the notary public of approval or rejection of the application within forty-five (45) days after receipt. If the application is rejected, the Secretary of State shall state the reasons for the rejection.
- G. Rejection of an application, or termination or revocation of a prior authorization to perform electronic notarizations may be appealed pursuant to A.R.S. §§ 41-1092.03 and 41-1092.06.
- H. The term of the commission for electronic notarization shall be the same as the term of the notary's existing notary commission.
- I. The renewal of the commission of a notary public who has previously received authorization to perform electronic notarizations does not constitute renewal of such authorization to perform electronic notarizations. Applicant shall submit another application as provided under subsection (A) and must receive authorization from the Secretary of State in order to continue to perform electronic notarizations.
- J. Nothing herein shall be construed to prohibit a notary public from receiving, installing, or using hardware and/or software updates to the technologies that the notary public identified under subsection (A) if the hardware and/or software update does not result in technologies that are materially different from the technologies that the notary public identified previously.

#### R2-12-1203R2-12-1204. Notarial Journal Tamper Evident Technology

A. An electronic notary public shall keep a journal of all electronic notarial acts in bound paper form with the same form as required in A.R.S. § 41-319 herein referenced as a "journal." If an electronic notary act is conducted upon an electronic signature that is not recognized under A.R.S. § 41-132, the electronic notary shall have the signer sign the paper journal in a manner consistent with A.R.S. § 41-319. A notary public shall select one or more tamper-evident technologies to perform electronic notarizations. The tamper-evident technology shall consist of a digital certificate complying with the X.509 standard adopted by the International Telecommunication Union or a similar industry-standard technology.



- B. The journal shall be under the control of the electronic notary. In performance of an electronic notarization, a notary public shall attach or logically associate the notary public's electronic signature and electronic seal to an electronic record that is the subject of a notarial act by use of the digital certificate.
- C. If an electronic notary also holds commission as a notary public appointed under A.R.S. § 41-312, and the commission dates are identical between the two commissions, then the electronic notary may use the notary public journal as the electronic notary paper journal. If the dates are not identical, then the electronic notary shall maintain two separate journals. A notary public may not perform an electronic notarization if the digital certificate:
  - Has expired;
  - 2. Has been revoked or terminated by the issuing or registering authority;
  - 3. Is invalid; or
  - 4. <u>Is incapable of authentication.</u>
- D. If a notary service electronic certificate is used in a manner to create an electronic signature in a notarial act, the document name, title, brief description of contents, and the time stamp shall be entered into the issuing electronic notary's journal as a notary service electronic certificate entry. Renewal of the notary's digital certificate is separate from the registration process with the Secretary of State and shall be obtained from a qualified certificate authority capable of supplying certificates that comply with this section. Renewal of the certificate with the certificate authority is the responsibility of the notary.
- E. Journals are not deemed received until the Secretary of State accepts the journals as complete. The electronic notary shall not be subject to a penalty for delay outside the control of the electronic notary in delivering the journal to the Secretary of State.

### R2 12 1204R2-12-1205. Standards for Electronic Notary Token and Notary Service Electronic Certificate Electronic Seal Requirements

- A. An electronic notary token, and subsequently a notary service electronic certificate, shall be approved under Λ.R.S. § 41-132. Δ notary public shall use the same unique electronic seal for all electronic notarizations performed during an applicable commission period.
- B. A provider of an electronic notary token may not provide an official electronic notary token to a person unless the person first presents evidence of the electronic notary commission for that person to the provider. An electronic seal shall substantially conform to the following design: a rectangular or circular seal with the notary public's name as it appears on the commission, the great seal of the State of Arizona, the words "Notary Public," "State of Arizona," and "My commission expires on (date)," the name of the county in which the notary public is commissioned, and the commission number.
- C. A provider of a notary service electronic certificate may not provide an official notary service electronic certificate to a person unless the person presents himself or herself before and receives authorization from an electronic notary for reception of the notary service electronic certificate. When affixed to an electronic record, an electronic seal shall be clear, legible, and photographically reproducible. An electronic seal is not required to be within a minimum or maximum size when photographically reproduced on an electronic record.
- D. An electronic notary token shall contain:
  - 1. The commission number of the electronic notary;
  - 2. The full name of the electronic notary, as commissioned as an electronic notary;
  - 3. The expiration date of the notary's commission;
  - 4. A link to the commission record of the electronic notary on the Secretary of State's official web site; and
  - 5. Any applicable information relative to A.R.S. § 41-132.
- E. A notary service electronic certificate shall contain:
  - 1. The commission number of the electronic notary authorizing the notary service electronic certificate;
  - 2. The identification of the authorizing electronic notary's electronic notary token;
  - 3. The full name of the individual, as presented to the electronic notary;
  - 4. A link to the authorizing commission record of the electronic notary on the Secretary of State's official web site; and
  - 5. Any applicable information relative to A.R.S. § 41-132.
- An electronic notary may possess only one electronic notary token.

# R2-12-1205R2-12-1206. Use of Electronic Notary Tokens and Notary Service Electronic Certificate Security of Electronic Signatures and Electronic Seals

- A. An electronic notary may only use an electronic notary token for the duties set forth in A.R.S. §§ 41-351 through 41-369 and interactions with the provider of the electronic notary token. A notary public's electronic signature and electronic seal shall remain within the exclusive control of the notary public, including control by means of use of a password or other secure method of authentication. A notary public shall not disclose any access information used to affix the notary public's electronic signature or electronic seal to electronic records, except:
  - 1. When requested by the Secretary of State or a law enforcement officer;
  - 2. When required by court order or subpoena; or
  - 3. Pursuant to an agreement to facilitate electronic notarizations with a vendor or other technology provider identified in an application submitted under this Article.
- B. A person may only use a notary service electronic certificate for the purposes of creating electronic notarized documents and interactions with the provider of the notary service electronic certificate. A notary public may not allow any other individual to use his or her electronic signature or electronic seal to perform a notarial act.
- C. Use of an electronic notary token is not complete without: Upon resignation, revocation, or expiration of the notary public's commission, the notary public's electronic seal (including any coding, disk, digital certificate, card, software, or password that enables the notary public to attach or logically associate the electronic seal to an electronic record) shall be destroyed or disabled to prohibit its use by any other person.
  - 1. Incorporating the electronic notary token elements into the document;



- Either directly incorporating the time and date of notarization or incorporating the time and date of notarization using a process
  of an approved time stamp provider;
- 3. Affixing the notary's electronic signature.
- D. Use of a notary service electronic certificate is not complete without: A notary public shall immediately notify an appropriate law enforcement agency and the Secretary of State on actual knowledge of the theft or vandalism of the notary public's electronic signature, electronic seal, or digital certificate. A notary public shall immediately notify the Secretary of State on actual knowledge of the unauthorized use by another person of the notary public's electronic signature, electronic seal, or digital certificate.
  - 1. Presence of a date and time stamp from an approved time stamp token provider;
  - 2. Affixing the notary's electronic signature.

#### R2 12 1206R2-12-1207. Approval of Time Stamp Token Provider Journal

Any person or entity that can provide a service that synchronizes time as defined in A.R.S. § 1-242 into a process using an electronic notary token or a notary service electronic certificate, where applicable, may be added to the list of approved time stamp token providers. All time stamp tokens that interact with electronic notary tokens and notary service electronic certificates need to meet the applicable technology standards required by A.R.S. § 41-132.

An electronic notary public shall keep a journal of all electronic notarial acts in bound paper form with the same form as required in A.R.S. § 41-319 and shall be under the sole control of the electronic notary public.

#### R2-12-1207R2-12-1208. Fees Requirements for Authenticating the Notarial Act

Electronic notaries may charge the following fees: Electronic notarial acts need to fulfill certain basic requirements to ensure non-repudiation and the capability of being authenticated by the Secretary of State for purposes of issuing Apostilles and Certificates of Authentication. They are as follows:

- 1. Fee for an acknowledgment shall be not more than \$25. The fact of the notarial act, including the notary's identity, signature, and commission status, must be verifiable by the Secretary of State, and
- 2. Fee for an oath or affirmation shall be not more than \$25. The notarized electronic document will be rendered ineligible for authentication by the Secretary of State if it is improperly modified after the time of notarization, including any unauthorized alterations to the document content, the electronic notarial certificate, the notary public's electronic signature, and/or the notary public's official electronic seal.
- 3. Fee for a jurat shall be not more than \$25.
- 4. Fee for authorizing a notary service electronic certificate to a person shall be not more than \$50. This does not include any vendor fees or charges to the person for reception of the notary service electronic certificate.
- 5. Fee for any other notarial act shall be not more than \$25.

#### R2 12 1208. Penalty Fee for Lack of Notice Repealed

The penalty to be imposed upon an electronic notary for failure to provide signed notice as defined in the statute to the Secretary of State of each loss, theft, or compromise of the electronic notary's journal shall be \$10 per use of electronic notary token up to a maximum of \$500. When audit trail is not recoverable, the maximum of \$500 shall be imposed upon the electronic notary for each failure to provide proper notice of a loss, theft, or compromise of the electronic notary's journal.

#### R2-12-1209. Civil Penalties Repealed

- A. The penalty to be imposed upon an electronic notary for failure to provide signed notice as defined in the statute to the Secretary of State of each loss, theft, or compromise of a notary service electronic certificate or of loss, theft or compromise of any materials or processes used in creating an electronic notary token or authorizing a notary service electronic certificate shall be \$10 per day, up to a maximum of \$500 for each failure to provide proper notice of a loss, theft, or compromise of a notary service electronic certificate or compromise of any materials or processes used in creating an electronic notary token.
- **B.** The penalty to be imposed upon an electronic notary for each failure to provide signed notice as defined in the statute to the Secretary of State of a change of address shall be \$10 per day, up to a maximum of \$250 for each failure to provide proper notice of a change of address.
- C. The penalty to be imposed upon an electronic notary for failure to deposit the notary's electronic notary journal and records as defined in the statute with the Secretary of State shall be \$50 for the first day and then \$10 per day up to a maximum of \$500.

# NOTICE OF FINAL RULEMAKING TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

[R19-291]

#### **PREAMBLE**

1. Article, Part, or Section Affected (as applicable)
R2-20-209
Rulemaking Action
Amend

2. Citations to the agency's statutory rulemaking authority to include both the authorizing statute (general) and the implementing statute (specific):

Authorizing statute: A.R.S. § 16-956(A)(7)

Implementing statute: A.R.S. §§ 16-941, 16-942, 16-956(A)(7), 16-957

3. The effective date of the rule:

December 12, 2019

a. If the agency selected a date earlier than the 60 day effective date as specified in A.R.S. § 41-1032(A),



include the earlier date and state the reason or reasons the agency selected the earlier effective date as provided in A.R.S. § 41-1032(A)(1) through (5):

Pursuant to A.R.S. § 16-956(C)-(D), the Commission determined unanimously at an open meeting that an immediate

Pursuant to A.R.S. § 16-956(C)-(D), the Commission determined unanimously at an open meeting that an immediate effective date was necessary for this rule. It is effective December 12, 2019. The Commission seeks to have rules effective before August 1 to avoid or minimize confusion and maximize the effectiveness of its candidate education efforts. In this case, the conflict between portions of this rule and the statute could cause confusion.

b. If the agency selected a date later than the 60 day effective date as specified in A.R.S. § 41-1032(A), include the later date and state the reason or reasons the agency selected the later effective date as provided in A.R.S. § 41-1032(B):

Not applicable

4. <u>Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the final rulemaking package:</u>

Notice of Rulemaking Docket Opening: 25 A.A.R. 3079, October 18, 2019 Notice of Proposed Rulemaking: 25 A.A.R. 3055, October 18, 2019

5. The agency's contact person who can answer questions about the rulemaking:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 W. Adams, Suite 110

Phoenix, AZ 85007

Telephone: (602) 364-3477 (include area code when dialing)

E-mail: ccec@azcleanelections.gov
Web site: azcleanelections.gov

6. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

R2-20-209: This amendment is designed to make the existing rule clearer and more concise. Currently, a reader must read multiple rules together to understand the Citizens Clean Elections investigation process in an enforcement matter after reason to believe a violation has occurred has been determined.

7. A reference to any study relevant to the rule that the agency reviewed and either relied on or did not rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

Not applicable

8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

9. A summary of the economic, small business, and consumer impact:

Because the rule amendment does not substantively change the underlying Commission investigative process, there is not economic, small business, or consumer impact cost. The clarification will have an economic, small business and consumer benefit because a clearer rule lowers compliance costs.

10. A description of any changes between the proposed rulemaking, to include supplemental notices, and the final rulemaking:

Not applicable

11. An agency's summary of the public or stakeholder comments made about the rulemaking and the agency response to the comments:

None received

- 12. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:
  - a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:
Not applicable

c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:

Not applicable

13. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rule:



14. Whether the rule was previously made, amended or repealed as an emergency rule. If so, cite the notice published in the Register as specified in R1-1-409(A). Also, the agency shall state where the text was changed between the emergency and the final rulemaking packages:

Not applicable

15. The full text of the rules follows:

# TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

#### **ARTICLE 2. COMPLIANCE AND ENFORCEMENT PROCEDURES**

Section

R2-20-209. Investigation

#### **ARTICLE 2. COMPLIANCE AND ENFORCEMENT PROCEDURES**

#### R2-20-209. Investigation

- **A.** The Commission Executive Director or any other person designated by the Executive Director shall conduct an investigation in any case in which the Commission finds reason to believe that a violation of a statute or rule over which the Commission has jurisdiction has occurred or is about to occur.
- **B.** The Commission's investigation may include, but is not limited to, field investigations, audits, and other methods of information gathering.

#### NOTICES OF TERMINATION OF RULEMAKING

Terminated Rules: An agency shall terminate a proposed rulemaking if the agency does not wish to adopt the rule. Within 120 days after publication of the Notice of Proposed Rulemaking in the Register or after the close of

the record for the proposed rule, the agency may terminate the rule by filing a Notice of Termination with the Secretary of State.

# NOTICE OF TERMINATION OF RULEMAKING TITLE 2. ADMINISTRATION CHAPTER 20. CITIZENS CLEAN ELECTIONS COMMISSION

[R19-292]

- 1. The Register citation and the date of the Notice of Rulemaking Docket Opening:
  Notice of Rulemaking Docket Opening: 25 A.A.R. 2130, August 23, 2019
- 2. The Register citation and the date of the Notice of Proposed Rulemaking: Notice of Proposed Rulemaking: 25 A.A.R. 2115, August 23, 2019
- 3. Article, Part, or Section Affected (as applicable) R2-20-104 Rulemaking Action Amend



#### NOTICES OF RULEMAKING DOCKET OPENING

This section of the *Arizona Administrative Register* contains Notices of Rulemaking Docket Opening.

A docket opening is the first part of the administrative rulemaking process. It is an "announcement" that the agency intends to work on its rules.

When an agency opens a rulemaking docket to consider rulemaking, the Administrative Procedure Act (APA) requires the publication of the Notice of Rulemaking Docket Opening.

Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process. Many times an agency may file the Notice of Rulemaking Docket Opening with the Notice of Proposed Rulemaking.

The Office of the Secretary of State is the filing office and publisher of these notices. Questions about the interpretation of this information should be directed to the agency contact person listed in item #4 of this notice.

# NOTICE OF RULEMAKING DOCKET OPENING CITIZENS CLEAN ELECTIONS COMMISSION

2, Administration

[R19-293]

1. Title and its heading:

**Chapter and its heading:** 20, Citizens Clean Elections Commission

Article and its heading: 7, Use of Funds Section numbers: R2-20-701

2. The subject matter of the proposed rule:

Updates existing rule related to participating candidate expenditures to address concerns raised by a member of the Governor's Regulatory Review Council.

3. A citation to all published notices relating to the proceeding:

Notice of Proposed Rulemaking: 26 A.A.R. 101, January 17, 2020 (in this issue)

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 E. Adams, Suite 110

Phoenix, 85007

Telephone: (602) 364-3477

E-mail: <a href="mailto:ccec@azcleanelections.gov">ccec@azcleanelections.gov</a>

### 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

After approved for public comment, comments will be accepted up to and including the date of the public meeting. Comments will be accepted at the public meeting. Pursuant to A.R.S. § 16-956, the Commission shall adopt rules after at least 60 days for public comment. The Commission voted to circulate the rules for public comment Dec. 12, 2019.

6. A timetable for agency decisions or other action on the proceeding, if known:

Goal is to seek approval and immediate effective date as soon as possible after 60-day period has elapsed, depending on circumstances and comment.

# NOTICE OF RULEMAKING DOCKET OPENING CITIZENS CLEAN ELECTIONS COMMISSION

[R19-294]

1. <u>Title and its heading:</u> 2, Administration

20, Citizens Clean Elections Commission

Chapter and its heading:20, Citizens CleaArticle and its heading:7, Use of AssetsSection numbers:R2-20-702.01

2. The subject matter of the proposed rule:

Updates existing rule related to participating candidate expenditures to address concerns raised by a member of the Governor's Regulatory Review Council.

3. A citation to all published notices relating to the proceeding:

Notice of Proposed Rulemaking: 26 A.A.R. 102, January 17, 2020 (in this issue)

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Thomas M. Collins

Address: Citizens Clean Elections Commission

1616 E. Adams, Suite 110

Phoenix, 85007

Telephone: (602) 364-3477

E-mail: <u>ccec@azcleanelections.gov</u>



### 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

After approval for public comment, comments will be accepted up to and including the date of the public meeting. Comments will be accepted at the public meeting. Pursuant to A.R.S. § 16-956, the Commission shall adopt rules after at least 60 days for public comment. The Commission voted to circulate the rules for public comment Dec. 12, 2019.

#### 6. A timetable for agency decisions or other action on the proceeding, if known:

Goal is to seek approval and immediate effective date as soon as possible after 60-day period has elapsed, depending on circumstances and comment.

# NOTICE OF RULEMAKING DOCKET OPENING CITIZENS CLEAN ELECTIONS COMMISSION

[R19-295]

1. <u>Title and its heading:</u> 2, Administration

<u>Chapter and its heading:</u> 20, Citizens Clean Elections Commission

Article and its heading: 7, Use of Assets Section numbers: R2-20-703.01

#### 2. The subject matter of the proposed rule:

Updates existing rule related to participating candidate expenditures to address concerns raised by a member of the Governor's Regulatory Review Council.

#### 3. A citation to all published notices relating to the proceeding:

Notice of Proposed Rulemaking: 26 A.A.R. 104, January 17, 2020 (in this issue)

#### 4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Thomas M. Collins

Telephone:

Address: Citizens Clean Elections Commission

1616 E. Adams, Suite 110

Phoenix, 85007 (602) 364-3477

E-mail: ccec@azcleanelections.gov

### 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

After approval for public comment, comments will be accepted up to and including the date of the public meeting. Comments will be accepted at the public meeting. Pursuant to A.R.S. § 16-956, the Commission shall adopt rules after at least 60 days for public comment. The Commission voted to circulate the rules for public comment Dec. 12, 2019.

#### 6. A timetable for agency decisions or other action on the proceeding, if known:

Goal is to seek approval and immediate effective date as soon as possible after 60-day period has elapsed, depending on circumstances and comment.



#### NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statement issued by agencies (A.R.S. § 41-1013(B)(9)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect an agency's

internal procedures and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties, you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

#### NOTICE OF SUBSTANTIVE POLICY STATEMENT **ARIZONA STATE LOTTERY**

[M19-119]

Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Arizona State Lottery Fast Play Game Development Policy

Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

December 24, 2019

3. Summary of the contents of the substantive policy statement:

This policy documents the policy and process of the Arizona State Lottery (Lottery) regarding game development for Fast Play games notice and approval to the Lottery Commission.

Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement: Arizona Revised Statute § 5-554(D).

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a new substantive policy statement.

The agency contact person who can answer questions about the substantive policy statement:

Sherri Zendri Name:

Address: Arizona Lottery

4740 E. University Dr. Phoenix, AZ 85034

Telephone: (480) 921-4401 (480) 921-4512 Fax: E-mail: szendri@azlottery.gov Web site: www.arizonalottery.com

Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

The full text of the Arizona Lottery Fast Play Game Development Policy is available by contacting the agency contact referenced above.



#### REGISTER INDEXES

The Register is published by volume in a calendar year (See "General Information" in the front of each issue for more information).

Abbreviations for rulemaking activity in this Index include:

#### PROPOSED RULEMAKING

PN = Proposed new Section
PM = Proposed amended Section
PR = Proposed repealed Section
P# = Proposed renumbered Section

#### SUPPLEMENTAL PROPOSED RULEMAKING

SPN = Supplemental proposed new Section
SPM = Supplemental proposed amended Section
SPR = Supplemental proposed repealed Section
SP# = Supplemental proposed renumbered Section

#### **FINAL RULEMAKING**

FN = Final new Section FM = Final amended Section FR = Final repealed Section F# = Final renumbered Section

#### **SUMMARY RULEMAKING**

#### PROPOSED SUMMARY

PSMN = Proposed Summary new Section
PSMM = Proposed Summary amended Section
PSMR = Proposed Summary repealed Section
PSM# = Proposed Summary renumbered Section
FINAL SUMMARY

FSMN = Final Summary new Section FSMM = Final Summary amended Section FSMR = Final Summary repealed Section FSM# = Final Summary renumbered Section

## EXPEDITED RULEMAKING PROPOSED EXPEDITED

PEN = Proposed Expedited new Section
PEM = Proposed Expedited amended Section
PER = Proposed Expedited repealed Section
PE# = Proposed Expedited renumbered Section

#### SUPPLEMENTAL EXPEDITED

SPEN = Supplemental Proposed Expedited new Section SPEM = Supplemental Proposed Expedited amended Section SPER = Supplemental Proposed Expedited repealed Section SPE# = Supplemental Proposed Expedited renumbered Section

#### **FINAL EXPEDITED**

FEN = Final Expedited new Section
FEM = Final Expedited amended Section
FER = Final Expedited repealed Section
FE# = Final Expedited renumbered Section

#### **EXEMPT RULEMAKING**

#### **EXEMPT**

XN = Exempt new Section XM = Exempt amended Section XR = Exempt repealed Section X# = Exempt renumbered Section

#### **EXEMPT PROPOSED**

PXN = Proposed Exempt new Section
PXM = Proposed Exempt amended Section
PXR = Proposed Exempt repealed Section
PX# = Proposed Exempt renumbered Section

#### EXEMPT SUPPLEMENTAL PROPOSED

SPXN = Supplemental Proposed Exempt new Section SPXR = Supplemental Proposed Exempt repealed Section SPXM = Supplemental Proposed Exempt amended Section SPX# = Supplemental Proposed Exempt renumbered Section

#### FINAL EXEMPT RULEMAKING

FXN = Final Exempt new Section FXM = Final Exempt amended Section FXR = Final Exempt repealed Section FX# = Final Exempt renumbered Section

#### **EMERGENCY RULEMAKING**

EN = Emergency new Section
EM = Emergency amended Section
ER = Emergency repealed Section
E# = Emergency renumbered Section
EEXP = Emergency expired

#### **RECODIFICATION OF RULES**

RC = Recodified

#### **REJECTION OF RULES**

RJ = Rejected by the Attorney General

#### **TERMINATION OF RULES**

TN = Terminated proposed new Sections
TM = Terminated proposed amended Section
TR = Terminated proposed repealed Section
T# = Terminated proposed renumbered Section

#### **RULE EXPIRATIONS**

EXP = Rules have expired

See also "emergency expired" under emergency rulemaking

#### **CORRECTIONS**

C = Corrections to Published Rules



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Issue 2, Jan. 10, 2020......45-96

#### RULEMAKING ACTIVITY INDEX

Rulemakings are listed in the Index by Chapter, Section number, rulemaking activity abbreviation and volume page number. Use the page guide above to determine the *Register* issue number to review the rule. Headings for the Subchapters, Articles, Parts, and Sections are not indexed.

#### THIS INDEX INCLUDES RULEMAKING ACTIVITY THROUGH ISSUE 2 OF VOLUME 26.

Corporation Commission - Transportation		Education, State I	Board of FXM-66	R9-10-514. R9-10-516.	XM-72 XM-72
R14-5-202. R14-5-204.	PM-11 PM-11	R7-2-306. R7-2-604. R7-2-1309.	FXM-66 FXN-66	R9-10-523. R9-10-525. R9-10-702.	XM-72 XM-72 PEM-49
Economic Securi Child Support En	ty, Department of - forcement	Health Services, I Health Care Instit		R9-10-703. R9-10-706.	PEM-49 PEM-49 PEM-49
R6-7-103.  Economic Securi Developmental D	FM-15 ty, Department of - isabilities	R9-10-109. R9-10-318. R9-10-501. R9-10-502.	R9-10-318. PEM-49 R9-10-70 R9-10-501. XM-72 R9-10-71 R9-10-502 XM-72 R9-10-71		
R6-6-401. R6-6-402. R6-6-403. R6-6-404. R6-6-405.	P#-5; PN-5 P#-5; PM-5 PR-5; P#-5 PM-5 P#-5; PM-5	R9-10-503. R9-10-506. R9-10-508. R9-10-510. R9-10-512.	XM-72 XM-72 XM-72 XM-72 XM-72	R9-10-722.	PEM-49

#### OTHER NOTICES AND PUBLIC RECORDS INDEX

Other legal notices required to be published under the Administrative Procedure Act, such as Rulemaking Docket Openings, are included in this Index by volume page number. Notices of Agency Ombudsman, Substantive Policy Statements, Proposed Delegation Agreements, and other applicable public records as required by law are also listed in this Index by volume page number.

#### THIS INDEX INCLUDES OTHER NOTICE ACTIVITY THROUGH ISSUE 2 OF VOLUME 26.

#### Agency Ombudsman, Notices of

Osteopathic Examiners in Medicine and Surgery, Board of; p. 21 Public Safety, Department of; p. 21

### Docket Opening, Notices of Rulemaking

Corporation Commission - Transportation; 14 A.A.C. 5; p. 19

Economic Security, Department of -Developmental Disabilities; 6 A.A.C. 6; p. 17

Nursing Care Institution Administrators and Assisted Living Facility Managers, Board of Examiners for; 4 A.A.C. 33; p. 17

Public Safety, Department of - Tow Trucks; 13 A.A.C. 3; p. 18

#### **Governor's Office**

Executive Order 2019-01: pp. 23-

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#### **RULES EFFECTIVE DATES CALENDAR**

A.R.S. § 41-1032(A), as amended by Laws 2002, Ch. 334, § 8 (effective August 22, 2002), states that a rule generally becomes effective 60 days after the day it is filed with the Secretary of State's Office. The following table lists filing dates and effective dates for rules that follow this provision. Please also check the rulemaking Preamble for effective dates.

January		February		March		April		Мау		June	
Date Filed	Effective Date										
1/1	3/1	2/1	4/1	3/1	4/30	4/1	5/31	5/1	6/30	6/1	7/31
1/2	3/2	2/2	4/2	3/2	5/1	4/2	6/1	5/2	7/1	6/2	8/1
1/3	3/3	2/3	4/3	3/3	5/2	4/3	6/2	5/3	7/2	6/3	8/2
1/4	3/4	2/4	4/4	3/4	5/3	4/4	6/3	5/4	7/3	6/4	8/3
1/5	3/5	2/5	4/5	3/5	5/4	4/5	6/4	5/5	7/4	6/5	8/4
1/6	3/6	2/6	4/6	3/6	5/5	4/6	6/5	5/6	7/5	6/6	8/5
1/7	3/7	2/7	4/7	3/7	5/6	4/7	6/6	5/7	7/6	6/7	8/6
1/8	3/8	2/8	4/8	3/8	5/7	4/8	6/7	5/8	7/7	6/8	8/7
1/9	3/9	2/9	4/9	3/9	5/8	4/9	6/8	5/9	7/8	6/9	8/8
1/10	3/10	2/10	4/10	3/10	5/9	4/10	6/9	5/10	7/9	6/10	8/9
1/11	3/11	2/11	4/11	3/11	5/10	4/11	6/10	5/11	7/10	6/11	8/10
1/12	3/12	2/12	4/12	3/12	5/11	4/12	6/11	5/12	7/11	6/12	8/11
1/13	3/13	2/13	4/13	3/13	5/12	4/13	6/12	5/13	7/12	6/13	8/12
1/14	3/14	2/14	4/14	3/14	5/13	4/14	6/13	5/14	7/13	6/14	8/13
1/15	3/15	2/15	4/15	3/15	5/14	4/15	6/14	5/15	7/14	6/15	8/14
1/16	3/16	2/16	4/16	3/16	5/15	4/16	6/15	5/16	7/15	6/16	8/15
1/17	3/17	2/17	4/17	3/17	5/16	4/17	6/16	5/17	7/16	6/17	8/16
1/18	3/18	2/18	4/18	3/18	5/17	4/18	6/17	5/18	7/17	6/18	8/17
1/19	3/19	2/19	4/19	3/19	5/18	4/19	6/18	5/19	7/18	6/19	8/18
1/20	3/20	2/20	4/20	3/20	5/19	4/20	6/19	5/20	7/19	6/20	8/19
1/21	3/21	2/21	4/21	3/21	5/20	4/21	6/20	5/21	7/20	6/21	8/20
1/22	3/22	2/22	4/22	3/22	5/21	4/22	6/21	5/22	7/21	6/22	8/21
1/23	3/23	2/23	4/23	3/23	5/22	4/23	6/22	5/23	7/22	6/23	8/22
1/24	3/24	2/24	4/24	3/24	5/23	4/24	6/23	5/24	7/23	6/24	8/23
1/25	3/25	2/25	4/25	3/25	5/24	4/25	6/24	5/25	7/24	6/25	8/24
1/26	3/26	2/26	4/26	3/26	5/25	4/26	6/25	5/26	7/25	6/26	8/25
1/27	3/27	2/27	4/27	3/27	5/26	4/27	6/26	5/27	7/26	6/27	8/26
1/28	3/28	2/28	4/28	3/28	5/27	4/28	6/27	5/28	7/27	6/28	8/27
1/29	3/29	2/29	4/29	3/29	5/28	4/29	6/28	5/29	7/28	6/29	8/28
1/30	3/30	1		3/30	5/29	4/30	6/29	5/30	7/29	6/30	8/29
1/31	3/31	1		3/31	5/30			5/31	7/30		
					<u> </u>						



July	ly A		August		September		October		r	December	
Date Filed	Effective Date										
7/1	8/30	8/1	9/30	9/1	10/31	10/1	11/30	11/1	12/31	12/1	1/30/21
7/2	8/31	8/2	10/1	9/2	11/1	10/2	12/1	11/2	1/1/21	12/2	1/31/21
7/3	9/1	8/3	10/2	9/3	11/2	10/3	12/2	11/3	1/2/21	12/3	2/1/21
7/4	9/2	8/4	10/3	9/4	11/3	10/4	12/3	11/4	1/3/21	12/4	2/2/21
7/5	9/3	8/5	10/4	9/5	11/4	10/5	12/4	11/5	1/4/21	12/5	2/3/21
7/6	9/4	8/6	10/5	9/6	11/5	10/6	12/5	11/6	1/5/21	12/6	2/4/21
7/7	9/5	8/7	10/6	9/7	11/6	10/7	12/6	11/7	1/6/21	12/7	2/5/21
7/8	9/6	8/8	10/7	9/8	11/7	10/8	12/7	11/8	1/7/21	12/8	2/6/21
7/9	9/7	8/9	10/8	9/9	11/8	10/9	12/8	11/9	1/8/21	12/9	2/7/21
7/10	9/8	8/10	10/9	9/10	11/9	10/10	12/9	11/10	1/9/21	12/10	2/8/21
7/11	9/9	8/11	10/10	9/11	11/10	10/11	12/10	11/11	1/10/21	12/11	2/9/21
7/12	9/10	8/12	10/11	9/12	11/11	10/12	12/11	11/12	1/11/21	12/12	2/10/21
7/13	9/11	8/13	10/12	9/13	11/12	10/13	12/12	11/13	1/12/21	12/13	2/11/21
7/14	9/12	8/14	10/13	9/14	11/13	10/14	12/13	11/14	1/13/21	12/14	2/12/21
7/15	9/13	8/15	10/14	9/15	11/14	10/15	12/14	11/15	1/14/21	12/15	2/13/21
7/16	9/14	8/16	10/15	9/16	11/15	10/16	12/15	11/16	1/15/21	12/16	2/14/21
7/17	9/15	8/17	10/16	9/17	11/16	10/17	12/16	11/17	1/16/21	12/17	2/15/21
7/18	9/16	8/18	10/17	9/18	11/17	10/18	12/17	11/18	1/17/21	12/18	2/16/21
7/19	9/17	8/19	10/18	9/19	11/18	10/19	12/18	11/19	1/18/21	12/19	2/17/21
7/20	9/18	8/20	10/19	9/20	11/19	10/20	12/19	11/20	1/19/21	12/20	2/18/21
7/21	9/19	8/21	10/20	9/21	11/20	10/21	12/20	11/21	1/20/21	12/21	2/19/21
7/22	9/20	8/22	10/21	9/22	11/21	10/22	12/21	11/22	1/21/21	12/22	2/20/21
7/23	9/21	8/23	10/22	9/23	11/22	10/23	12/22	11/23	1/22/21	12/23	2/21/21
7/24	9/22	8/24	10/23	9/24	11/23	10/24	12/23	11/24	1/23/21	12/24	2/22/21
7/25	9/23	8/25	10/24	9/25	11/24	10/25	12/24	11/25	1/24/21	12/25	2/23/21
7/26	9/24	8/26	10/25	9/26	11/25	10/26	12/25	11/26	1/25/21	12/26	2/24/21
7/27	9/25	8/27	10/26	9/27	11/26	10/27	12/26	11/27	1/26/21	12/27	2/25/21
7/28	9/26	8/28	10/27	9/28	11/27	10/28	12/27	11/28	1/27/21	12/28	2/26/21
7/29	9/27	8/29	10/28	9/29	11/28	10/29	12/28	11/29	1/28/21	12/29	2/27/21
7/30	9/28	8/30	10/29	9/30	11/29	10/30	12/29	11/30	1/29/21	12/30	2/28/21
7/31	9/29	8/31	10/30			10/31	12/30			12/31	3/1/21



#### REGISTER PUBLISHING DEADLINES

The Secretary of State's Office publishes the Register weekly. There is a three-week turnaround period between a deadline date and the publication date of the Register. The weekly deadline dates and issue dates are shown below. Council meetings and Register deadlines do not correlate. Also listed are the earliest dates on which an oral proceeding can be held on proposed rulemakings or proposed delegation agreements following publication of the notice in the Register.

Deadline Date (paper only) Friday, 5:00 p.m.	Register Publication Date	Oral Proceeding may be scheduled on or after
November 15, 2019	December 6, 2019	January 6, 2020
November 22, 2019	December 13, 2019	January 13, 2020
November 29, 2019	December 20, 2019	January 21, 2020
December 6, 2019	December 27, 2019	January 27, 2020
December 13, 2019	January 3, 2020	February 3, 2020
December 20, 2019	January 10, 2020	February 10, 2020
December 27, 2019	January 17, 2020	February 17, 2020
January 3, 2020	January 24, 2020	February 24, 2020
January 10, 2020	January 31, 2020	March 2, 2020
January 17, 2020	February 7, 2020	March 9, 2020
January 24, 2020	February 14, 2020	March 16, 2020
January 31, 2020	February 21, 2020	March 23, 2020
February 7, 2020	February 28, 2020	March 30, 2020
February 14, 2020	March 6, 2020	April 6, 2020
February 21, 2020	March 13, 2020	April 13, 2020
February 28, 2020	March 20, 2020	April 20, 2020
March 6, 2020	March 27, 2020	April 27, 2020
March 13, 2020	April 3, 2020	May 4, 2020
March 20, 2020	April 10, 2020	May 11, 2020
March 27, 2020	April 17, 2020	May 18, 2020
April 3, 2020	April 24, 2020	May 26, 2020



# GOVERNOR'S REGULATORY REVIEW COUNCIL DEADLINES

The following deadlines apply to all Five-Year Review Reports and any adopted rule submitted to the Governor's Regulatory Review Council. Council meetings and *Register* deadlines do not correlate. We publish these deadlines under A.R.S. § 41-1013(B)(15).

All rules and Five-Year Review Reports are due in the Council office by 5 p.m. of the deadline date. The Council's office is located at 100 N. 15th Ave., Suite 305, Phoenix, AZ 85007. For more information, call (602) 542-2058 or visit http://grrc.az.gov.

#### **GOVERNOR'S REGULATORY REVIEW COUNCIL DEADLINES FOR 2019/2020**

(MEETING DATES ARE SUBJECT TO CHANGE)

[M19-118]

DEADLINE FOR PLACEMENT ON AGENDA*	FINAL MATERIALS SUBMITTED TO COUNCIL	DATE OF COUNCIL STUDY SESSION	DATE OF COUNCIL MEETING
Tuesday	Tuesday	Tuesday	Tuesday
November 19, 2019	December 24, 2019	January 7, 2020	January 14, 2020
Tuesday	Tuesday	Tuesday	Tuesday
December 24, 2019	January 21, 2020	January 28, 2020	February 4, 2020
Tuesday	Tuesday	Tuesday	Tuesday
January 21, 2020	February 18, 2020	February 25, 2020	March 3, 2020
Tuesday	Tuesday	Tuesday	Tuesday
February 18, 2020	March 24, 2020	March 31, 2020	April 7, 2020
Tuesday	Tuesday	Tuesday	Tuesday
March 24, 2020	April 21, 2020	April 28, 2020	May 5, 2020
Tuesday	Tuesday	Wednesday	Tuesday
April 21, 2020	May 19, 2020	May 27, 2020	June 2, 2020
Tuesday	Tuesday	Tuesday	Tuesday
May 19, 2020	June 23, 2020	June 30, 2020	July 7, 2020
Tuesday	Tuesday	Tuesday	Tuesday
June 23, 2020	July 21, 2020	July 28, 2020	August 4, 2020
Tuesday	Tuesday	Tuesday	Tuesday
July 21, 2020	August 18, 2020	August 25, 2020	September 1, 2020
Tuesday	Tuesday	Tuesday	Tuesday
August 18, 2020	September 22, 2020	September 29, 2020	October 6, 2020
Tuesday	Tuesday	Tuesday	Tuesday
September 22, 2020	October 20, 2020	October 27, 2020	November 3, 2020
Tuesday	Tuesday	Tuesday	Tuesday
October 20, 2020	November 17, 2020	November 24, 2020	December 1, 2020
Tuesday	Tuesday	Tuesday	Tuesday
November 17, 2020	December 22, 2020	December 29, 2020	January 5, 2021
Tuesday	Tuesday	Tuesday	Tuesday
December 29, 2020	January 19, 2021	January 26, 2021	February 2, 2021

<sup>\*</sup> Materials must be submitted by **5 PM** on dates listed as a deadline for placement on a particular agenda. Placement on a particular agenda is not guaranteed.